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Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

By Nina L. McNeill

**PATENT**  
Atty Docket No.: A5152D5-T39550  
Client Ref. No. 005152 D5  
TTC No. 016301-039550US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Canfeng Lai, et al.

Application No.: Not yet assigned

Filed: Herewith

For: MULTI-CORE TRANSFORMER  
PLASMA SOURCE

Customer No. 20350

Examiner: Not yet assigned

Art Unit: Not yet assigned

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. This application is a divisional application of and relies on U.S. Appl. No. 09/839,360, filed on April 20, 2001 (the "parent application") for an earlier effective filing date under 35 U.S.C. § 120. All of the references were submitted to or by the U.S. Patent and Trademark Office in the parent application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
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60129337 v1

FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket No.: A5152D5/T39550		Application No.: Unassigned	
			Applicant: CANFENG LAI et al.			
			Filing Date: Herewith		Group: Unassigned	
Reference Designation		U.S. PATENT DOCUMENTS			Page 1	
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
AA	3,291,715	12/13/66	G.S. Anderson	204	298	
AB	4,086,506	04/1978	Kustom et al.	310	74	
AC	4,277,306	07/1981	Ohkawa	176	9	
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AK	5,014,321	05/1991	Klein	381	111	
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	Document No.	Date	Country	Class	Sub-class	Translation

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket No.: A5152DS/T39550	Application No.: Unassigned		
Applicant: CANFENG LAI et al.						
Filing Date: Herewith      Group: Unassigned						
						(Yes/No)
<input type="checkbox"/> BD	WO 90/10945	09/20/90	WIPO	H01J	27/16	yes
<input type="checkbox"/> BE	WO 99/00823	01/07/99	WIPO	H01J	37/32	yes
<input type="checkbox"/> BF	JP 2-260399	10/23/90	Japan	H05H	1/46	yes (abstract only)
<input type="checkbox"/> BG	JP 5-166595	07/02/93	Japan	H05H	1/46	yes (abstract only)
<input type="checkbox"/> BH	JP 5-144594	06/11/93	Japan	H05H	1/46	yes (abstract only)

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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